

Transference-focused psychotherapy v. treatment by community psychotherapists for borderline personality disorder: randomised controlled trial

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Background

Transference-focused psychotherapy is a manualised treatment for borderline personality disorder.

Aims

To compare transference-focused psychotherapy with treatment by experienced community psychotherapists.

Method

In a randomised controlled trial (NCT00714311) 104 female out-patients were treated for 1 year with either transference-focused psychotherapy or by an experienced community psychotherapist.

Results

Significantly fewer participants dropped out of the transference-focused psychotherapy group (38.5% v. 67.3%) and also significantly fewer attempted suicide ($d=0.8$, $P=0.009$). Transference-focused psychotherapy was significantly superior in the domains of borderline

symptomatology ($d=1.6$, $P=0.001$), psychosocial functioning ($d=1.0$, $P=0.002$), personality organisation ($d=1.0$, $P=0.001$) and psychiatric in-patient admissions ($d=0.5$, $P=0.001$). Both groups improved significantly in the domains of depression and anxiety and the transference-focused psychotherapy group in general psychopathology, all without significant group differences ($d=0.3$ – 0.5). Self-harming behaviour did not change in either group.

Conclusions

Transference-focused psychotherapy is more efficacious than treatment by experienced community psychotherapists in the domains of borderline symptomatology, psychosocial functioning, and personality organisation. Moreover, there is preliminary evidence for a superiority in the reduction of suicidality and need for psychiatric in-patient treatment.

Declaration of interest

None.

Transference-focused psychotherapy was developed by Otto F. Kernberg and is based on his model of borderline personality disorder.^{1,2} The efficacy of transference-focused psychotherapy has been evaluated in two randomised controlled trials (RCTs) to date. A 1-year RCT³ with 90 participants with borderline personality disorder compared transference-focused psychotherapy with dialectical behaviour therapy⁴ and psychodynamic supportive therapy. All three groups showed significant positive change in depression, anxiety, global functioning and social adjustment in a multiwave design. Transference-focused psychotherapy and dialectical behaviour therapy were associated with a significant improvement in suicidality, transference-focused psychotherapy and supportive therapy improved facets of impulsivity and only the former yielded a significant improvement in anger, irritability and verbal and direct assault. Moreover, only those individuals in the transference-focused psychotherapy group improved significantly in their reflective function and their attachment style.⁵ Giesen-Bloo *et al*.^{6,7} compared transference-focused psychotherapy to schema-focused therapy⁸ in a 3-year RCT with 88 participants with borderline personality disorder. The transference-focused psychotherapy revealed a significantly higher drop-out rate (51.2% v. 26.7%) and – despite improvements in all domains of outcome – significantly smaller treatment effects. The American Psychological Association (Division 12) evaluated transference-focused psychotherapy as having controversial research support. Thus, more research is needed before transference-focused psychotherapy can be considered to have modest or strong research support.⁹ The present study aims to bring clarity to the field and to determine whether transference-focused psychotherapy can be regarded as empirically supported treatment according to the American

Psychological Association (Division 12) criteria.¹⁰ This investigation examines the efficacy of transference-focused psychotherapy for borderline personality disorder in an RCT comparing those randomised to transference-focused psychotherapy with those randomised to a group treated by experienced psychotherapists in the community.

Method

Study design

The study was approved by the ethics commission of the Medical University Innsbruck, Austria, on 24 March 2004 (ID: UN1950) and was registered at Clinicaltrials.gov (NCT00714311). Participants were recruited at the out-patient units of the Departments of Psychiatry and Psychotherapy, Technical University of Munich, Germany, and the Psychoanalysis and Psychotherapy Department, Medical University Vienna, Austria. People who fulfilled the inclusion criteria were given a complete description of the study. Those who gave written informed consent were assessed by trained local research assistants. The results of the first assessments were sent to a researcher outside the two study centres who performed the randomisation. Participants were randomly assigned to either transference-focused psychotherapy or experienced community psychotherapists. After randomisation participants were referred to a therapist; medication was registered continuously by the local administrators. Medication treatment was not standardised, its type and amount were decided on an individual basis by the individuals' psychiatrists in the community in both groups. One year after treatment started, outcome was assessed by the local research

assistants, who were masked to the therapy delivered. The community therapists were interviewed by the local administrator to record number of sessions and frequency of supervision that took place as well as the drop-out rate and participants' reasons for terminating. In the transference-focused psychotherapy group these data were recorded by the supervisors.

Participants

Participants were screened by the local administrators at the out-patient units. Inclusion criteria were age 18–45 years, female gender, diagnosis of borderline personality disorder (DSM–IV)¹¹ and sufficient knowledge of the German language. Exclusion criteria were diagnosis of antisocial personality disorder, schizophrenia, bipolar I and II disorder with a major depressive, manic or hypomanic episode during the previous 6 months, substance dependency (including alcohol) during the previous 6 months, organic pathology or mental retardation. After written informed consent was obtained from participants, inclusion and exclusion criteria were examined again within the baseline assessment. Antisocial personality disorder was an exclusion criterion if three or more DSM–IV criteria were met. Comorbidity with all other personality disorders and with Axis I disorders except those mentioned above were allowed as well as medication.

Assessments

Four research assistants, who were masked for the therapy delivered, conducted assessments before randomisation and after 1 year of treatment. Two performed pre- and post-tests, two pre- or post-tests only. Prior to the study they received comprehensive interview training and demonstrated satisfactory reliability for the Structured Clinical Interview for DSM (SCID–I and –II)^{12,13} and Structured Interview for Personality Organization (STIPO)¹⁴ (intraclass correlation coefficients 0.72 to 0.78).

Two primary outcome measures – drop-out and suicide attempts – were chosen because they cover fundamental issues in the treatment of people with borderline personality disorder: individuals' adherence to the treatment while refraining from taking their own life. Suicidality was not chosen as the only primary outcome measure since data on it might not be available for those who dropped out.

Primary outcome measures

- Number of participants who dropped out.
- Suicide attempts: German version of the Cornell Interview for Suicidal and Self-Harming Behavior – Self Report (CISSB).¹⁵ The questionnaire was adapted from the Parasuicidal History Interview (PHI).¹⁶

Secondary outcome measures

- DSM–IV diagnostic criteria for borderline personality disorder and number of comorbid Axis I and II diagnoses: German version of the SCID–I and –II).^{12,13}
- Psychosocial functioning: Global Assessment of Functioning (GAF) scale.¹¹
- General psychopathology: German questionnaire versions of Beck Depression Inventory (BDI),¹⁷ State–Trait Anxiety Inventory (STAI)¹⁸ and Brief Symptom Inventory (BSI).¹⁹
- Self-harming behaviour: see Primary outcome measures.
- Psychiatric in-patient admissions: German version of the Cornell Revised Treatment History Inventory (CRTHI).²⁰

The questionnaire was adapted from the Treatment History Interview.²¹

- Personality organisation: this construct assesses basic personality functions that are of importance in the regulation of the self and the relationships with others.¹ Impairment of these functions is characteristic of individuals with borderline personality disorder. For the assessment of personality organisation the STIPO has been developed.¹⁴ The instrument provides an overall assessment of six levels of personality functioning from high (1) to low (6) and has been described elsewhere.^{22,23}

Treatment and therapists

Psychotherapy and medication were reimbursed by the German and Austrian health insurance companies at no expense to the participants. Psychotherapy was delivered in the private practices of licensed psychotherapists or at the psychotherapy out-patient units of university hospitals. The study period was 1 year; participants and therapists were instructed to maintain the treatment for at least this duration. Psychotherapies were to be continued if deemed necessary by the therapist and the participant and if paid by the insurance company. Additional non-study psychotherapy was not permitted in the transference-focused psychotherapy group.

Transference-focused psychotherapy

Transference-focused psychotherapy is a modified psychodynamic psychotherapy for people with borderline personality disorder. Two 50-minute sessions are delivered per week. Before treatment starts, a treatment contract is negotiated orally with the individual, covering general aspects like duration and payment as well as potential threats to the treatment specific to each patient (e.g. suicide attempts, drug misuse or anorectic behaviour). Based on the theoretical framework of Kernberg,¹ the treatment focuses on the integration of internalised experiences of dysfunctional early relationships. For this purpose, the actual relationship between the individual and the therapist ('transference relationship') is examined as much as possible. In addition, transference-focused psychotherapy offers a hierarchy of thematic priorities to be used in every session. Limits are actively set by the therapist, if a patient's behaviour threatens their life, others' lives or the continuation of therapy. On the phenomenological level, the treatment aims at the reduction of impulsivity (e.g. aggression directed towards self or others, substance misuse, eating disorder), mood stabilisation, and the improvement of interpersonal relationships as well as occupational functioning. For this study the German translation of the treatment manual was used.²⁴

The study therapists delivering transference-focused psychotherapy were experienced clinical psychologists or medical doctors, most of whom were psychodynamic psychotherapists. The average amount of professional experience after completion of psychoanalytic/psychodynamic training was 9.4 (s.d.=7.9) years. Since in Germany and Austria psychotherapy training is usually started 3–5 years after the last degree, the total number of years of clinical experience was considerably higher than the mean of years mentioned above. All therapists received 1-year training that covered 100 h of theory and 40 h of group supervision. Every therapist had to deliver transference-focused psychotherapy to at least one person with borderline personality disorder and had to attend group supervision for at least 1 year prior to the study. In total, 31 therapists participated in the study, 18 treated one person each, 8 treated two people, 3 treated three, 1 treated four, and 1 five people.

Treatment by experienced community psychotherapists

These community psychotherapists were known as experienced and were particularly interested in people with borderline personality disorders. All of them had received referrals of these individuals by the local administrators before the study. However, none of the therapists had specific training in manualised borderline psychotherapy. The average amount of professional experience after completion of psychotherapy training was 8.9 (s.d. = 9.8) years. No significant differences were found between the two groups of therapists regarding their level of experience. Individual therapy by experienced community psychotherapists was delivered according to the mental healthcare situation in Munich and Vienna. All therapists were licensed psychotherapists who were paid by the health insurance companies. In total, 19 people were treated by psychoanalysts (36.5%), 18 by behaviour therapists (34.6%), 4 by client-centered therapists (Rogerian) (7.7%), 4 by systemic psychotherapists (7.7%), 1 by a Gestalt therapist (1.9%). Four people (7.7%) dropped out of the study after randomisation before being assigned to a therapist. Overall, 36 therapists participated in the study, 29 treated one person each, 5 treated two, 1 treated four and 1 five people. Within the 1-year time frame, therapists were free to choose the frequency of sessions according to their method.

Supervision

Supervision is a crucial part of transference-focused psychotherapy. Video recordings of all sessions were performed and used in the group supervision. Four supervision groups took place regularly during the whole study period either weekly for 2 h or every fortnight for 4 h. Every case was supervised at least every 4–6 weeks. All supervisors were trained and certified in transference-focused psychotherapy and the supervising process at the Personality Disorders Institute of Cornell University, New York (Otto F. Kernberg). Experienced community psychotherapists attended supervision according to their usual routine; their sessions were not recorded. For this reason, transference-focused psychotherapy psychotherapists received significantly ($P=0.003$) more supervision (21.5, s.d. = 8.5 sessions) than experienced community psychotherapists (11.4, s.d. = 12.3 sessions).

Treatment integrity

For the assessment of the transference-focused psychotherapy psychotherapists' treatment integrity a German translation of a specific rating of adherence and competence^{24,25} was used. The instrument contains ten items that are judged on a five-point scale, ranging from 1 representing low quality to 5 representing high quality of the session. Nine items address specific aspects of adherence to the manual and the tenth item addresses the overall competence the therapist exhibits in the session. The rating was performed by the supervisor after every video-guided supervision of a therapy session. If a score of 2 and below was given on the adherence and competence ratings, additional individual supervision was delivered. For the group analyses of adherence and competence, four sessions of every single therapy were included: contract setting; after 4 months of therapy; after 8 months of therapy; and after almost 12 months of therapy. The mean overall competence rating was 3.0 (s.d. = 0.9). Ratings of 2 and below stand for insufficient adherence and competence, thus, a mean rating of 3.0 can be regarded as satisfactory. No comparable ratings were performed in the experienced community psychotherapists.

Since the experienced community psychotherapists were not expected to deliver a specific treatment, but rather to represent

treatment as usual in the field as it is performed by psychotherapists who are interested and experienced in treating people with borderline personality disorder, no integrity checks were performed in this group.

Statistical analyses

For sample size calculation (continuity corrected) a 1-year prevalence of 30% suicide attempters among individuals with borderline personality disorder was assumed.^{6,26} To detect a difference between a reduction of 10% in the transference-focused psychotherapy group and of 20% in the experienced community psychotherapists group with a power of 80% ($P<0.05$), 41 participants per group were needed.

Intention-to-treat group comparisons were calculated for the outcome analyses. Chi-squared statistics were employed for dichotomous variables, the McNemar test for within-group changes of dichotomous variables, Mann–Whitney *U*-tests for ordinal data, and *t*-tests for continuous data. Cohen's *d* was computed to measure effect sizes for continuous data, and for dichotomous measures effect size (d^*) was calculated according to Hasselblad & Hedges.²⁷ To compare the time effects of the two interventions, analyses of covariance (ANCOVA) were performed. For the group comparison of change over time of presence or absence of suicide attempts and self-harming acts during the treatment year (dichotomous variables) additional variables were created: 1, positive change; 0, no change; -1, negative change. Group differences on these variables were tested by means of the Mann–Whitney *U*-test. For the primary outcome variables (drop out, suicide attempt during the treatment year) Bonferroni adjustment was performed, which set the level of significance to $P<0.025$. *P* for secondary outcome measures are explorative. The SPSS 15.0 software package for Windows was used for statistical analyses.

Treatment of missing data

As presented in Fig. 1, a number of participants were lost to the follow-up evaluation. Since this study contains two assessments only, we decided to address the problem of missing values by combining two strategies of analysis: observed cases and last observation carried forward (LOCF). The rationale for this combination is the fact that the latter tends to underestimate within-group changes and the former to overestimate these changes.²⁸ To prevent unrealistically high effect sizes because of missing values on the one hand, and to rule out a bias due to different drop-out rates in the two groups on the other hand, the complete intention-to-treat analysis was performed twice. The first analysis contained only participants who attended the 1-year follow-up, and in the case of the second analysis, missing data were replaced by the baseline assessment.

Results

Participant characteristics at baseline

Participants were recruited between October 2004 and August 2006. The participant flow is presented in Fig. 1. In total, 104 women with borderline personality disorder gave written informed consent and were included in the study. Their characteristics are shown in online Table DS1. There were no significant differences between the groups with regard to sociodemographic and clinical variables at baseline.

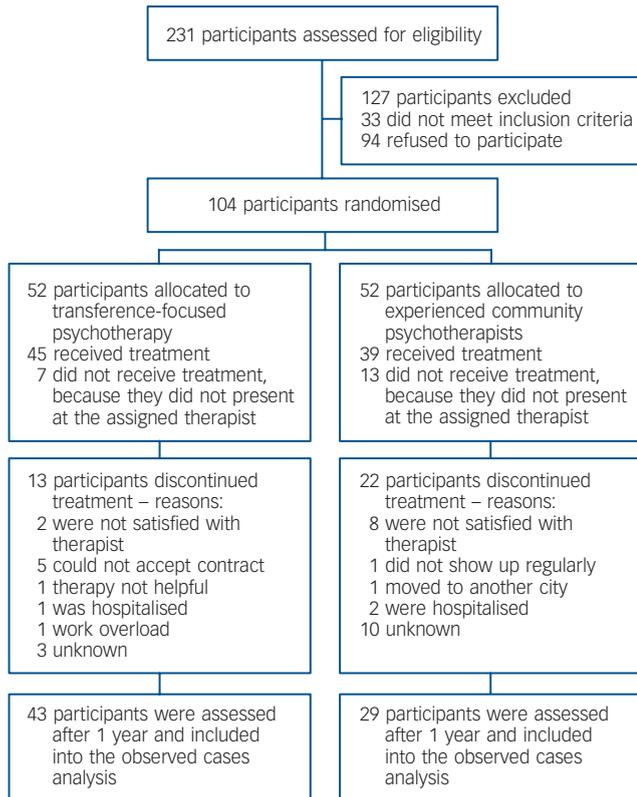


Fig. 1 Participant flow in the randomised controlled trial.

Treatment received

The drop-out rate was significantly higher (Bonferroni adjusted) in the experienced community psychotherapists group (67.3% v. 38.5%, $\chi^2 = 8.683$, d.f. = 1, $P = 0.003$). The point of time when individuals dropped out of therapy can be seen from the Kaplan–Meier survival curve in Fig. 2 (log-rank statistic 8.453, d.f. = 1, $P = 0.004$). In total, 72 participants were included into the observed cases analyses (43 in the transference-focused psychotherapy group and 29 in the experienced community psychotherapist group); the LOCF analyses contained the whole sample.

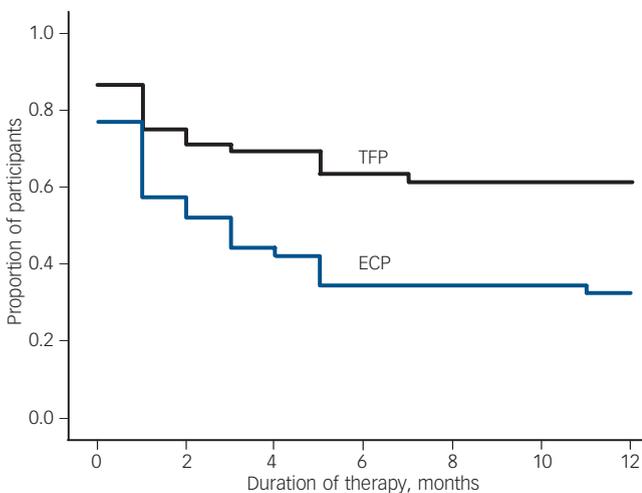


Fig. 2 Proportion of participants remaining in transference-focused psychotherapy (TFP) and treatment by experienced community psychotherapists (ECP).

Participants in the transference-focused psychotherapy group received 48.5 (s.d. = 34.2) sessions and those in the experienced community psychotherapists group 18.6 (s.d. = 24.0) sessions of individual psychotherapy within the 1-year study period. The difference is significant ($t = 5.163$, d.f. = 101, $P < 0.001$). Among the completers, transference-focused psychotherapy participants ($n = 32$) received 72.9 (s.d. = 14.5) sessions, and the experienced community psychotherapists group ($n = 17$) 39.4 (s.d. = 26.3) sessions, which also differs significantly ($t = 4.984$, d.f. = 48, $P < 0.001$).

Medication

There were no significant differences between the groups with regard to medication at baseline and during the 1-year treatment period (Fig. 3). The only participant who received amphetamines was in the transference-focused psychotherapy group. There was no significant influence of psychotropic medication on the outcome variables with the exception of a worse BSI global severity index in medicated participants ($F = 43.927$, d.f. = 1, 101, $P = 0.04$).

Treatment outcomes

Last observation carried forward analyses

The results of the LOCF analyses are shown in online Table DS2. The transference-focused psychotherapy group showed a significantly higher proportion of participants that fulfilled less than five DSM–IV diagnostic borderline criteria after 1 year and were not diagnosed borderline personality disorder any more (42.3% v. 15.4%, $\chi^2 = 9.182$, d.f. = 1, $P = 0.002$). The transference-focused psychotherapy group was significantly superior with regard to the number of DSM–IV diagnostic criteria, psychosocial functioning, personality organisation, suicide attempts and number and duration of psychiatric in-patient treatments.

Overall, 42 participants continued psychotherapy after the 1-year study period (29 in the transference-focused psychotherapy group and 13 in the experienced community psychotherapist

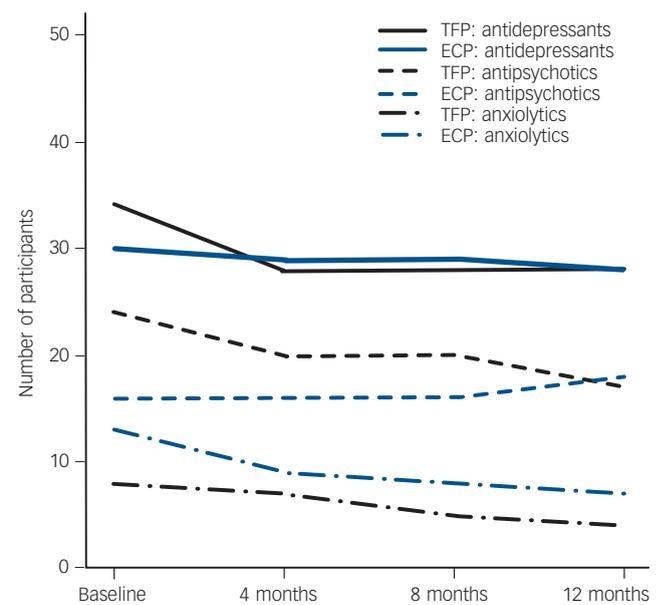


Fig. 3 Proportion of participants taking psychotropic medication. TFP, transference-focused psychotherapy; ECP, experienced community psychotherapists.

group). When controlled for continuation of treatment after 1 year the results for the LOCF analyses remained almost unchanged with the exception of 'suicide attempts'. Since in each group only one participant who continued therapy attempted suicide during the treatment period, the group time interaction was no longer significant here. The group time interaction remained significant for the number of psychiatric hospitalisations ($F=8.814$, $d.f.=99$, $P=0.004$), but only showed a trend towards significance for the number of days in psychiatric in-patient treatment ($F=2.889$, $d.f.=99$, $P=0.09$). Compared with the whole sample, participants who continued therapy showed equal or even higher effect sizes. The latter was the case for the GAF score ($d=1.4$) and DSM-IV borderline criteria ($d=1.9$) in the transference-focused psychotherapy group, and for depression ($d=0.9$) in the experienced community psychotherapists group.

Observed cases analyses

The observed cases analyses revealed similar results to the LOCF with higher effect sizes and somewhat lower significance. In the transference-focused psychotherapy group, significantly more participants had less than five DSM-IV borderline criteria after 1 year (51.2% *v.* 27.6%, $\chi^2=3.961$, $d.f.=1$, $P=0.047$) and a tendency towards a greater reduction in these ($F=3.986$, $d.f.=1,69$, $P=0.05$, $d=1.8$ *v.* $d=1.4$), a significantly greater improvement in psychosocial functioning ($F=4.765$, $d.f.=1,69$, $P=0.03$, $d=1.1$ *v.* $d=0.5$), and a significantly greater improvement in personality organisation ($F=6.420$, $d.f.=1,69$, $P=0.02$, $d=1.2$ *v.* $d=0.4$). Moreover, participants in the transference-focused psychotherapy group improved significantly with regard to suicide attempts (McNemar's $\chi^2=13.09$, $d.f.=1$, $P=0.001$) and number of suicide attempts ($t=2.991$, $d.f.=36$, $P=0.005$), whereas those in the experienced community psychotherapists group remained unchanged; the group comparison was significant for number of suicide attempts ($F=5.125$, $d.f.=1,55$, $P=0.03$). Participants in the transference-focused psychotherapy group reported significantly fewer psychiatric in-patient admissions ($t=3.333$, $d.f.=25$, $P=0.003$, $d=0.5$) of shorter duration ($t=2.620$, $d.f.=21$, $P=0.02$, $d=0.6$), whereas this did not change in the other group; the group comparison did not reach significance. Both groups did not show a significant change in self-harming behaviour. Significant improvement in self-reported psychopathology occurred in all dimensions with no significant group differences ($d=0.3$ – 0.8).

Control for dose effect

Participants treated with transference-focused psychotherapy received more psychotherapy sessions than those in the experienced community psychotherapists group. This was also the case among the completers. To rule out a mere dose effect of transference-focused psychotherapy, completer analyses were conducted, controlling for the number of therapy sessions delivered. The group differences remained significant for GAF score ($F=4.639$, $d.f.=1,45$, $P=0.04$, $d=1.5$ *v.* 0.6), number of DSM-IV borderline criteria ($F=5.168$, $d.f.=1,45$, $P=0.03$, $d=1.9$ *v.* 1.2), and level of personality organisation ($F=4.168$, $d.f.=1,45$, $P<0.05$, $d=1.0$ *v.* 0.4). In both groups all but one of the individuals who attempted suicide dropped out of treatment (6 in the transference-focused psychotherapy group and 10 in the experienced community psychotherapists group), and a considerable number after psychiatric in-patient admissions (9 in the transference-focused psychotherapy group and 18 in the experienced community psychotherapists group). Those individuals who dropped out were not included in the

completer analyses. As a consequence, the group differences with regard to suicide attempts and psychiatric in-patient admissions decreased below the level of significance.

Discussion

This study investigated the efficacy of transference-focused psychotherapy compared with treatment by experienced community psychotherapists for people with borderline personality disorder. The results demonstrate the significant superiority of transference-focused psychotherapy with regard to the primary outcome criteria of drop-out rate and suicide attempts during the treatment year. The same was true for the secondary outcome criteria reduction of DSM-IV diagnostic borderline criteria, psychosocial functioning, level of personality organisation and psychiatric in-patient admissions.

Selection of participants

This study involved people with less severe borderline personality disorder, with higher GAF scores, fewer comorbid Axis I and II disorders and fewer self-harming acts than other treatment studies on this patient group. This can be attributed to the healthcare situation in Germany and Austria, where more severely ill people with borderline personality disorder receive in-patient treatment paid for by healthcare insurance companies. Moreover, people with comorbid antisocial personality disorder and substance dependency were excluded. This patient selection limits the generalisability of the results.

Drop-out rates

The drop-out rate of participants in the transference-focused psychotherapy group (38.5%) was somewhat higher than that reported for out-patient dialectic behavioural therapy (25%)²⁹ and schema-focused therapy (26.7%).⁶ This can be attributed to the healthcare situation in Germany and Austria, where insurance companies cover psychotherapy for everyone in need. As a consequence, the study participants received psychotherapy at no cost to them and were free to choose another therapist if they were not satisfied with the one they were assigned to. Individuals who do not receive manualised borderline-specific psychotherapy drop out of therapy much more often. Linehan *et al.*²⁹ reported a 59.2% drop-out rate in their community treatment by experts group; which is close to the 67.3% in our study.

Suicidality and self-injury

Participants in the transference-focused psychotherapy group had improved suicidality but self-injury was not reduced, whereas in the comparison group both were almost unchanged; this calls for further interpretation, since other treatment approaches reduce both suicidality and self-injury.^{6,29,30} A possible explanation for this difference may be found in the study by Verheul *et al.*³¹ who reported an improvement in self-injury after 1 year of dialectic behavioral therapy only in individuals with a high severity of these behaviours, whereas individuals with a low severity remained unchanged. In our sample, the median number of self-injurious acts in the year before treatment was 6.0 (range 0–365); Linehan *et al.*²⁹ reported a median of 10 acts during the pre-treatment year and Bateman & Fonagy³⁰ in their paper on partially hospitalised patients reported 8–9 self-injuries in the 6 months prior to treatment. Thus, our participants revealed a comparably low severity of self-injurious behaviour, which – according to the finding of Verheul *et al.*³¹ – might have led to the lack of positive change.

Depression, anxiety and general psychopathology

Transference-focused psychotherapy yielded lower effect sizes in its improvement in self-reported depression, anxiety and general psychopathology, and as a result no significant differences between groups were found. This finding is in line with previous RCTs that also did not find significant group differences with regard to depression and anxiety in individuals treated with behavioural therapy^{3,29,31,32} and transference-focused psychotherapy.³ In contrast, Bateman & Fonagy³⁰ reported highly significant group differences in the improvement of depression and anxiety in participants with borderline personality disorder treated with mentalisation-based therapy,³³ but their participants were very severely ill – they started with a BDI score of 36.0 (compared with 25.8 in our transference-focused psychotherapy group), received intense 18-month partial hospitalisation treatment and were compared with a group of participants who only received two psychiatric consultations per month.

Dose–effect relationship

Since completed therapies with experienced community psychotherapists included significantly fewer sessions than completed transference-focused psychotherapies, completer analyses were conducted, controlling for the effect of number of sessions. The results showed that the effect of transference-focused psychotherapy can not be solely reduced to the dose of treatment. The fact that the group differences with regard to suicide attempts and psychiatric admissions were no longer significant can easily be explained by the high number of people who dropped out after suicide attempts and/or hospitalisation, especially in the experienced community psychotherapists group.

Continuation of therapy after the study period

In our study a number of participants continued psychotherapy after the 1-year study period. This has also been the case in previous influential studies^{6,34} and has to be regarded as a potential bias to the results. As a consequence we controlled the outcome analyses for continuation of therapy and found the main effects to be stable. Since in both groups only one of the participants who continued treatment attempted suicide, the group time interaction for suicide attempts during the study period was no longer significant. As mentioned above, the rest of the participants who attempted suicide during the study period (six in the transference-focused psychotherapy group and ten in the experienced community psychotherapists group) dropped out from therapy before the end of the 1-year study period.

Strengths and limitations

The strengths of this study are its large sample size, the multisite setting, the treatment by experienced community psychotherapists in the comparison group and the conservative statistical evaluation including observed cases and LOCF analyses. Due to the earlier mentioned healthcare situation in Germany and Austria, which allows individuals to switch therapies at no cost and to abstain from the study follow-up assessments without losing their therapy, there are two major limitations of the study: the high drop-out rate and the low participation in the follow-up assessment. Only 49 participants (47.1%) in the whole sample completed the 1-year treatment phase, end-point data were available for 71 individuals (68.3%). This amount of missing data reduces the validity of the results to a certain degree. Completer analyses and control of the results for dose–effect relationship assured that despite the missing data a genuine and significant

efficacy of transference-focused psychotherapy exists in the domains of borderline symptomatology, psychosocial functioning and personality organisation. Evidence for a superiority in the reduction of suicidality and need for psychiatric in-patient treatment should be regarded as preliminary.

Implications of the study

This study strengthens the empirical validation of transference-focused psychotherapy for the treatment of people with borderline personality disorder. Future research should look at long-term follow-up, since effects of psychotherapy seem to take years to develop and to continue after termination of treatment.³⁵ Moreover, given that we now have dialectic behavioural treatment, transference-focused psychotherapy, mentalisation-based therapy and schema-focused therapy as viable treatments for borderline personality disorder, the specific and potentially different mechanisms of change need to be studied in order to determine which treatment is most effective for which individuals with specific problems.

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Data supplement

Table DS1 Characteristics of participants at baseline						
Characteristics	Transference-focused psychotherapy (n = 52)	Treatment by community psychotherapists (n = 52)	Analysis			
			t	χ^2	d.f.	P
Age, years: mean (s.d.)	27.46 (6.8)	27.19 (7.5)	0.192		102	0.85
Education, n (%)				4.067	5	0.54
No compulsory school	8 (15.4)	8 (15.4)				
Compulsory school	5 (9.6)	8 (15.4)				
Apprenticeship/vocational school	11 (21.1)	17 (32.7)				
A-level	26 (50.0)	19 (36.5)				
Academic	5 (9.6)	5 (9.6)				
Still in school	3 (5.8)	1 (1.9)				
Employment, n (%)				1.188	5	0.95
In occupational training	18 (34.6)	17 (32.7)				
Unemployed	10 (19.2)	11 (21.2)				
Part time	6 (11.5)	7 (13.5)				
Full time	14 (26.9)	15 (28.8)				
Retired (because of disorder)	3 (5.8)	4 (7.7)				
Other	1 (1.9)	1 (1.9)				
Marital status, n (%)				0.444	3	0.93
Single	26 (50.0)	23 (44.2)				
Unmarried with partner	16 (30.8)	18 (34.6)				
Married	7 (13.5)	7 (13.5)				
Divorced	3 (5.8)	4 (7.7)				
GAF score, mean (s.d.)	52.2 (6.7)	54.3 (6.6)	− 1.614		102	0.11
Number of Axis I diagnoses, mean (s.d.)	1.6 (1.1)	1.5 (1.0)	0.184		102	0.85
Number of Axis II diagnoses (borderline personality disorder included), mean (s.d.)	2.5 (1.2)	2.2 (1.1)	1.264		102	0.21
Any self-harming behaviour during the previous 2 years, n (%)	37 (72.5)	37 (72.5)		0.000	1	1.0
Any suicide attempts during the previous 2 years, n (%)	18 (35.3)	12 (23.5)		1.700	1	0.19
Psychotropic medication use at baseline, n (%)	32 (61.5)	25 (48.1)		1.902	1	0.17
Antidepressants	25 (48.1)	22 (42.3)		0.349	1	0.55
Mood stabilisers	4 (7.7)	4 (7.7)		0.000	1	1.0
Antipsychotics	12 (23.1)	6 (11.5)		2.419	1	0.12
Sedatives	6 (11.5)	5 (9.6)		0.102	1	0.75
Amphetamines	1 (1.9)	0 (0.0)		1.010	1	0.32

GAF, Global Assessment of Functioning.

Table DS2 Outcome measures (last observation carried forward analyses)

Measure	Transference-focused psychotherapy (n = 52)					Treatment by community psychotherapists (n = 52)					Group comparison				
	Analysis					Analysis					Analysis				
	t	χ^2	d.f.	P	Effect size, d	t	χ^2	d.f.	P	Effect size, d	F	U	Z	d.f.	P
Global Assessment of Functioning score, mean (s.d.)															
Baseline	52.23 (6.67)					54.33 (6.57)									
1 year	58.62 (8.04)					56.06 (6.87)									
Number of DSM-IV diagnostic criteria for borderline personality disorder															
Baseline	6.73 (1.25)	8.199	51	<0.001	1.6	6.58 (1.26)	5.037	51	<0.001	0.8	11.257			1,101	0.001
1 year	4.79 (1.54)					5.63 (1.47)									
Level of personality organisation (Structured Interview for Personality Organization)															
Baseline	5.00 (0.56)	6.741	51	<0.001	1.0	4.77 (0.58)	3.045	51	0.004	0.3	12.136			1,101	0.001
1 year	4.46 (0.67)					4.62 (0.53)									
Any suicide attempts 1 year before and 1 year after beginning of psychotherapy, n (%)															
Baseline	18 (35.3) ^c	13.09 ^a	1	0.001	0.8	12 (23.1)	0 ^a	1	1.0	–				1076.5	–2.619
1 year	7 (13.7)					11 (21.2)									0.009 ^b
Any self-harming behaviour 1 year before and 1 year after beginning of psychotherapy, n (%)															
Baseline	37 (71.2)	0 ^a	1	1.0	–	36 (69.2)	0 ^a	1	1.0	–				1303.0	–0.575
1 year	38 (73.1)					35 (67.3)									0.57
Number of suicide attempts 1 year before and one year after beginning of psychotherapy, mean (s.d.)															
Baseline	0.43 (0.81) ^c	1.231	50	0.22	–	0.27 (0.53)	–1.188	51	0.24	–	3.522			1,100	0.06
1 year	0.31 (1.14)					0.40 (1.03)									
Number of self-harming acts 1 year before and 1 year after beginning of psychotherapy, mean (s.d.)															
Baseline	29.33 (59.83)	1.404	51	0.17	–	34.50 (85.15)	1.320	51	0.19	–	0.234			1,101	0.63
1 year	16.94 (30.64)					22.00 (52.97)									
Number of psychiatric in-patient admissions 1 year before and 1 year after beginning of psychotherapy, mean (s.d.)															
Baseline	0.69 (0.70)	3.432	51	0.001	0.5	0.57 (0.81) ^c	–1.947	50	0.06	–	12.702			1,100	0.001
1 year	0.33 (0.59)					0.82 (1.35)									
Number of days in psychiatric in-patient treatment 1 year before and 1 year after beginning of psychotherapy, mean (s.d.)															
Baseline	32.12 (43.69)	3.944	51	<0.001	0.5	22.82 (41.72) ^c	0.983	50	0.33	–	5.696			1,100	0.02
1 year	11.73 (25.43)					18.92 (37.05)									
Depression (Beck Depression Inventory, grand total), mean (s.d.)															
Baseline	25.82 (11.41) ^c	3.151	50	0.003	0.4	24.31 (10.18) ^c	3.156	50	0.003	0.4	0.035			1,99	0.85
1 year	21.67 (13.25)					20.02 (13.22)									
State anxiety (State-Trait Anxiety Inventory-X1), mean (s.d.)															
Baseline	56.12 (12.39)	2.388	51	0.02	0.3	54.69 (10.76) ^c	2.762	50	0.008	0.4	0.449			1,100	0.50
1 year	52.77 (12.68)					50.47 (12.75)									
Trait anxiety (State-Trait Anxiety Inventory-X2), mean (s.d.)															
Baseline	60.52 (8.99)	3.116	51	0.003	0.5	59.71 (9.64) ^c	3.611	50	0.001	0.4	0.016			1,100	0.90
1 year	55.92 (11.39)					55.49 (12.89)									
General psychopathology (Brief Symptom Inventory, Global Severity Index), mean (s.d.)															
Baseline	1.52 (0.71)	2.265	51	0.03	0.3	1.41 (0.64) ^c	1.672	50	0.10	–	0.010			1,100	0.92
1 year	1.33 (0.80)					1.27 (0.76)									

a. McNemar's chi-squared continuity-corrected.
 b. Significant after Bonferroni adjustment for the primary outcome variables.
 c. One participant's data missing at baseline.

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